

EXAMINATIONS — 2008  
MID-YEAR

**COMP431**  
**COMPILERS**

**Time Allowed:** 3 Hours

**Instructions:**

- *Read each question carefully before attempting it.*
- This examination will be marked out of **180** marks.
- Answer all questions.
- You may answer the questions in any order. Make sure you clearly identify the question you are answering.
- Non-electronic foreign language-English dictionaries are permitted.
- Reference material and *calculators* are NOT PERMITTED.
- Use of mobile phones, laptop computers, PDAs or other electronic devices is NOT PERMITTED.

<b>Questions</b>	<b>Marks</b>
1. Preliminaries	[10]
2. Parsing	[18]
3. Type Checking	[40]
4. Intermediate Language	[25]
5. Peephole Optimisation	[30]
6. Register Allocation	[20]
7. Dataflow Analysis	[25]
8. Static Single Assignment (SSA)	[12]

# Intermediate Language

In some of the questions, the following language (which is similar to JKil) will be used:

$c$	integers
$v, m, f, C$	local variable, method, field and class names (respectively)
$e, e_1, e_2$	arithmetic expressions
$aop$	binary arithmetic operators (e.g. +, -, *, /)
$bop$	binary boolean operators (e.g. !=, ==, <, >, <=, >=)
$L_1, L_2$	instruction labels
$D_C, D_M$	class and method declarations (respectively)
$T$	Java types
$S$	statements

$$D_C ::= \text{class } C_1 \text{ extends } C_2 \{ \overline{T} \overline{f} \overline{D_M} \}$$

$$D_M ::= T \ m(\overline{T} \ \overline{v}) \{ \overline{T} \ \overline{v} \ \overline{S} \}$$

$$T ::= \text{int} \mid C$$

$$S ::= \begin{array}{l} [L_1] \ v = e \\ | \ [L_1] \ v.f = e \\ | \ [L_1] \ v_1 = v_2.m(t_1, \dots, t_n) \\ | \ [L_1] \ \text{return } t \\ | \ [L_1] \ \text{if } v \ bop \ t \ \text{goto } L_2 \\ | \ [L_1] \ \text{goto } L_2 \end{array}$$

$$e ::= \begin{array}{l} t_1 \ aop \ t_2 \\ | \ v.f \\ | \ \text{new } C() \\ | \ t \end{array}$$

$$t ::= v \mid c \mid \text{null}$$

You may assume that local variables must be declared and defined before being used in a method. An example program in the *Intermediate Language* is:

```
class Test extends java.lang.Object {
    int aMethod(int j) {
        int i, Test p
        [0] i=0
        [1] if i>10 goto 5
        [2] i=i+1
        [3] j=j*2
        [4] goto 1
        [5] j = this.aMethod(j)
        [6] return j
    }
}
```

Assume the class hierarchy follows Java and `java.lang.Object` is the root. So, for example, `java.lang.Integer` extends `java.lang.Number`, etc.

## Question 1. Preliminaries

[10 marks]

- (a) [2 marks] Describe what is generally meant by a *compiler* in Computer Science.
- (b) [8 marks] List all the generic compiler stages (this list should have at least four stages) and give a one sentence description of what happens at each stage.

## Question 2. Parsing

[18 marks]

- (a) [5 marks] Describe *abstract syntax trees (AST's)* and outline what they are used for in compilation.
- (b) [3 marks] Describe the difference between a *scanner* and a *parser*.
- (c) [5 marks] In Pascal, semicolons are used to *separate* statements and brackets to *group* statements. This is illustrated in the following examples:

```
( statement ; statement ; statement )  
( statement ; ( statement ; statement ) ; statement )
```

Write an unambiguous grammar which describes the above structure (HINT: *statement* will be one of the terminals).

- (d) [5 marks] In C, semicolons are used to *terminate* statements and braces to *group* statements:

```
{ expression; expression; expression; }  
{ expression; { expression; expression; } expression; }
```

Write an unambiguous grammar that describes this structure.

### Question 3. Type Checking

[40 marks]

Consider the following type system describing a trivial subset of the Java language. Its syntax is as follows:

$$L ::= \text{class } C \{ \overline{C f}; K \} \quad K ::= C(\overline{C f}) \{ \overline{\text{this.f} = f}; \} \quad e ::= x \mid \text{new } C(\overline{e}) \mid e.f$$

$L$  is a class declaration for a class named  $C$  and  $\overline{C f}$  stands for a list of fields each having a type  $C$  and a name  $f$ .  $K$  describes a constructor that must initialise all fields in the class. There are no methods or casts in our language and only three possible expressions: variable ( $x$ ), class instantiation ( $\text{new } C(\overline{e})$ ) and field access ( $e.f$ ).

We provide the following expression type checking rules (where the  $fields(C)$  lookup function provides a list of fields given class  $C$ ):

$$\frac{\Gamma \vdash K = C(\overline{C f}) \{ \overline{\text{this.f} = f}; \}}{\Gamma \vdash \text{class } C \{ \overline{C f}; K \} OK} \quad (\text{T-CLASS}) \qquad \Gamma \vdash x : \Gamma(x) \quad (\text{T-VAR})$$

$$\frac{fields(C) = \overline{C f} \quad \Gamma \vdash \overline{e} : C}{\Gamma \vdash \text{new } C(\overline{e})} \quad (\text{T-NEW}) \qquad \frac{\Gamma \vdash e_0 : C_0 \quad fields(C_0) = \overline{C f}}{\Gamma \vdash e_0.f_i : C_i} \quad (\text{T-FIELD})$$

A program in our language is a list of class declarations followed by an expression. Each class declaration should meet the T-CLASS rule and the expression should meet the expression type rules. Assume that class `Object` is pre-defined. Also assume that a class table  $CT$  maps class names  $C$  to class declarations  $L$  and an environment  $\Gamma$  maps variables to their types.

**(a)** [10 marks] Construct an example of a program in this language that contains at least one class declaration with at least two fields and an expression that uses each of the valid kinds of expression.

(Question 3 continued on next page)

**(Question 3 continued)**

**(b)** For each of the following programs show the program derivation if it type checks or show why it does not type check.

**(i)** [8 marks]

```
class A {  
    Object f;  
    A(Object f) { this.f = f; }  
}
```

```
class B {  
    A fA;  
    Object f0;  
    B(A fA, Object f0) { this.fA = fA; this.f0 = f0; }  
}
```

```
(new B(new A(new Object()), new Object())).fA.f
```

**(ii)** [8 marks]

```
class Pair {  
    Object fst;  
    Object snd;  
    Pair(Object fst, Object snd) { this.fst = fst; this.snd = snd; }  
}
```

```
(new Pair(new Object(), new Pair(new Object(), new Object()))).snd.fst
```

**(c)** [4 marks] In your own words, briefly discuss the purpose of a *type system*. You may choose to refer to the rules and examples given in this question.

**(d)** [5 marks] Briefly describe the purpose of subject reduction and progress proofs. State what they show for the language type system described in this question.

**(e)** [5 marks] In the description of the language we have presented rules for syntax, type rules, and a *fields* lookup function. Explain what other category of rules must also be provided to fully describe a language behaviour using a type system?

## Question 4. Intermediate Language

[25 marks]

(a) [4 marks] Discuss the differences between the Abstract Syntax Tree (AST) and Intermediate Language (or Representation) used in a compiler.

(b) Translate the following three Java programs into the *Intermediate Language* given on Page 2. You should attempt to produce intermediate language programs that are as efficient as possible.

(i) [7 marks]

```
class Foo {
    int f() {
        Foo foo = new Foo();
        if (foo != null)
            foo.f();
    }
}
```

(ii) [7 marks]

```
class Foo {
    int f(int a, int b) {
        if (a <= b && a > 0) {
            while (b > a) {
                b -= a;
            }
            return b;
        } else {
            return b;
        }
    }
}
```

(iii) [7 marks]

```
class Foo {
    int f(int y) {
        int z=y;
        if(z > 42) { y = 1 + (z*2); }
        else { y = (2*z) + 2; }
        return z;
    }
}
```

## Question 5. Peephole Optimisation

[30 marks]

(a) [5 marks] Describe and discuss the peephole optimisation technique.

Consider the following peephole optimisation rules:

Original Form	Optimised Form
1 ..iload X; iconst Y; iadd; istore X;..	→ ..iinc X,Y;..
2 ..iconst Y; iadd; istore X;..	→ ..istore X; iinc X,Y;..
3 ..bipush X; bipush X;..	→ ..bipush X; dup;..
4 ..Tconst X; Tconst Y; Tadd; ..	→ ..Tconst X+Y;..
5 ..aconst_null;aload_X; if_acmpne L1;..	→ ..aload_X; ifnonnull L1..
6 ..if_icmpge L1;...; L1: goto L2;..	→ ..if_icmpge L2;...; L1: goto L2;..

(b) [5 marks] Give at least 2 more rules not listed above.

(c) [10 marks] Consider the following bytecodes:

```
    iconst_1
    istore_1
    iload_1
    iconst_1
    iadd
    istore_1
    bipush    10
    newarray  int
    astore_2
    iconst_0
    istore_3
L0:  iload_3
    bipush    10
    if_icmpge L2
    bipush    11
    bipush    11
    istore_1
    iconst_5
    istore    4
    iload    4
    goto     L1
L1:  iconst_5
    iadd
    istore    4
    iinc     3, 1
    goto     L0
L2:  goto     L3
L3:  return
```

Provide an optimised version of this code using the rules 1...6 listed above. Be sure to indicate which rules you have used and in which places.

(Question 5 continued on next page)

**(Question 5 continued)**

**(d)** [5 marks] Consider the following bytecodes:

```
    iload    1
    ifeq    L1
    iload    1
    iconst   2
    iadd
L1:  istore   1
```

It might appear that Rule 1 from the above list could be applied here. Why is it unsafe to do so?

**(e)** [5 marks] Consider the following rule:

Rule 0: ...;Tstore X; Tload X;...  $\longrightarrow$  ...;...

Discuss why this rule is unsafe to use in general. Give an example to illustrate the problem.

**Question 6. Register Allocation**

[20 marks]

**(a)** [5 marks] Describe how register allocation works.

**(b)** [5 marks] Describe the graph colouring approach to register allocation.

**(c)** [5 marks] Given the following code draw the interference graph:

```
a = 1;
b = 2;
c = a + 3;
d = b + 4;
e = c + a;
a = b + a;
```

**(d)** [5 marks] Describe linear scan approach to register allocation.

## Question 7. Dataflow Analysis

[25 marks]

A compiler for the intermediate language given on page 2 should identify situations where a reference variable is known to be *non-null*. For example, consider the following:

```
int f(String x)
    String y
[0] y = "Hello World"
[1] z = y.size()
[2] if x == null goto 4
[3] z = z + x.size()
[4] return z
```

Here, the compiler infers that  $x$  is *definitely non-null* before statement 3, but *may be null* before statements 0, 1, 2, and 4. Likewise, variable  $y$  is determined to be *definitely non-null* before statements 1 - 4, and *may be null* before statement 0.

(a) [20 marks] Design a simple dataflow analysis for determining whether a variable is *definitely non-null* before a given statement. Be sure to describe the following parts of the analysis: lattice of values (including  $\top$  and  $\perp$ ), transfer function, and the generic dataflow equations.

To help, a skeleton for the different cases of the transfer function is given below:

```
 $f_T(X, [L_1] v = e) = ???$   
 $f_T(X, [L_1] v.f = e) = X$   
 $f_T(X, [L_1] v_1 = v_2.m(t_1, \dots, t_n)) = ???$   
 $f_T(X, [L_1] \text{return } t) = \perp$   
 $f_T(X, [L_1] \text{if } v \text{ bop } t \text{ goto } L_2) = ???, \text{ if } v \text{ bop } t \text{ is true}$   
 $f_T(X, [L_1] \text{if } v \text{ bop } t \text{ goto } L_2) = ???, \text{ if } v \text{ bop } t \text{ is false}$   
 $f_T(X, [L_1] \text{goto } L_2) = ???$ 
```

```
 $isNonNull(X, v) = \text{true}, \text{ if } v \in X$   
 $isNonNull(X, v) = \text{false}, \text{ if } v \notin X$   
 $isNonNull(X, c) = \text{false}$   
 $isNonNull(X, \text{null}) = ???$   
 $isNonNull(X, t_1 \text{ aop } t_2) = ???$   
 $isNonNull(X, v.f) = ???$   
 $isNonNull(X, \text{new } C()) = \text{true}$ 
```

You need to fill in the missing bits marked with  $???$ . Note that there are two cases for the if statement — one for the *false* and one for the *true* branch.

(b) [5 marks] Discuss whether or not your dataflow analysis can safely determine whether a field is *definitely non-null*.

### Question 8. Static Single Assignment (SSA)

[12 marks]

(a) [2 marks] State the key requirement relating variables and definitions that is enforced by the SSA form.

(b) [5 marks] Draw the control-flow graph of the following program:

```
int f (int i, int j) {
    int x, y;
    switch (i) {
        case 0: x=3;
        case 1: x=1;
        case 2: x=4;
        case 3: x=1;
        case 4: x=5;
        default: x=9;
    }
    switch (j) {
        case 0: y=x+2;
        case 1: y=x+7;
        case 2: y=x+1;
        case 3: y=x+8;
        case 4: y=x+2;
        default: y=x+8;
    }
    return y;
}
```

(c) [5 marks] Starting from the CFG in the previous subquestion, convert the program to SSA form. Be sure to include  $\phi$ -nodes where appropriate.

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